



CONTRACTORS QUESTIONNAIRE

ALL QUESTIONS MUST BE ANSWERED

(Attach additional paper if necessary)

1. Applicant: _____
 - A. Years in business under current name: _____
 - B. Describe your Operations: _____
 - C. Do you have any other operations active or inactive? Yes ☐ No ☐
If yes, please explain: _____
2. Contractor's license number: _____ States in which you do business: _____
 - A. New York State Applicants: Any work in the five boroughs of New York? Yes ☐ No ☐
3. List all other business names & licenses active or inactive applicant has used in the past 10 years: _____
 - A. What were the operations? _____
4. Does applicant currently own/operate any other business? Yes ☐ No ☐
If yes, need name and percentage of ownership: _____
What are the operations? _____
5. Percentage of current operations: General Contractor ____% Subcontractor ____% Construction Mgr: ____%
6. Do you use Subcontractors? Yes ☐ No ☐ If yes, please complete the following:
 - A. Percentage of subcontracted work: _____%
 - B. Annual subcontracting cost (including all of subs' labor and materials: \$ _____
7. Do you collect certificates from all subcontractors? Yes ☐ No ☐
 - A. What limit is required from these subcontractors? \$ _____
8. Estimates for next 12 months:
Payroll \$ _____ Sub-Contract Cost \$ _____ Gross Receipts: \$ _____

4 Years Prior History if Applicable:	1 st Year	Gross Receipts: \$ _____
	2 nd Year	Gross Receipts: \$ _____
	3 rd Year	Gross Receipts: \$ _____
	4 th Year	Gross Receipts: \$ _____

9. Indicate the percentage of construction work preformed by you: **(MUST TOTAL 100%)**

<u>RESIDENTIAL</u>	<u> %</u>	<u>COMMERCIAL</u>	<u> %</u>
New Construction	_____ %	New Construction	_____ %
Remodeling/Repair	_____ %	Remodeling/Repair	_____ %
Other	_____ %		

10. Using percentage of payroll (under Direct) and percentage of contract costs (under Subbed), indicate the anticipated percentage of construction work you will perform over the next 12 months:

Type of Work	% Direct	% Subbed	Type of Work	% Direct	% Subbed	Type of Work	% Direct	% Subbed
Airport Runways			Excavation			Roofing		
Blasting			HVAC			Seismic/Retrofitting		
Bridge Building			Grading			Sewer		
Carpentry			Insulation			Steel/Structural		
Concrete			Maintenance			Steel/Ornamental		
Demolition			Masonry			Street/Road		
Drilling			Mechanical			Supervisory Only		
Drywall			Painting			Traffic Signals		
Earthquake			Plastering			Water/Gas Mains		
Electrical			Plumbing			Other:		

11. Describe your four largest projects over the past five years, including values:

12. List current projects currently underway or planned for the next year, including values:

13. How many new homes will you build from the ground up in the next year? _____

14. Have you ever built a home from the ground up? Yes ☐ No ☐

A. How long ago? _____ B. How many? _____

15. How many additional insured endorsements do you anticipate needing in the next year? _____

16. How many Waivers of Subrogation do you anticipate needing in the next year? _____

17. Have you allowed or will you allow your license to be used

by any other contractor for a project on which you have worked?

Yes ☐ No ☐

A. Has any other licensing authority taken any action against you?

Yes ☐ No ☐

18. Have you built or will you build on hillsides, terraces, landfills or

Subsidence areas?

Yes ☐ No ☐

If yes, please explain: _____

19. Do you use scaffolding? Yes ☐ No ☐
If yes, please explain: _____
20. Have you been involved or will you be involved with blasting operations or any other hazardous work activity? Yes ☐ No ☐
If yes, please explain: _____
21. Do you perform synthetic stucco work (EIFS)? Yes ☐ No ☐
22. Do any of your subcontractors perform EIFS work? Yes ☐ No ☐
23. Have you built/demolished or will you build/demolish buildings or other structures in excess of four (4) stories? Yes ☐ No ☐
If yes, please explain: _____
24. Do you perform work above two stories in height? (other than interior remodel) Yes ☐ No ☐
If yes, what percentage? _____% Maximum Height? _____
Please describe: _____
25. Do you perform any work at Airports? Yes ☐ No ☐
If yes, please explain: _____
26. Do you own, rent or subcontract any cranes? Yes ☐ No ☐
If yes, please explain: _____
27. Have you been involved or will you or your subcontractors be involved in any removal of asbestos, PCB's or other hazardous materials? Yes ☐ No ☐
28. Removal or work on fuel tanks or pipelines? Yes ☐ No ☐
29. If you are a roofing contractor, subcontractor or performing roofing work, do you use:
- | | |
|-------------------------|--|
| Hot Tar _____% | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Torch Down | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Modified Bitumen (HOT) | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Modified Bitumen (COLD) | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Hot Air Welding _____% | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Other: _____ | |
30. Do you perform any Mold Remediation Work? Yes ☐ No ☐
31. Do any of your subcontractors perform Mold Remediation Work? Yes ☐ No ☐
A. If yes, is coverage in place? Yes ☐ No ☐
B. Name of Carrier? _____
32. Have you performed or will you or your subcontractors perform

any work below grade:
Maximum Depth: _____% % of operations: _____

Yes ☐ No ☐

33. Any shoring, underpinning, cofferdam or caisson work?

Yes ☐ No ☐

If yes, please explain: _____

34. Have you worked or will you or your employees work under

U.S. Longshoremen's and Harbor Workers Act or Jones Maritime Act?

Yes ☐ No ☐

35. Do you have a formal safety program in place?

Yes ☐ No ☐

36. Will any work involve the construction of or involvement with condominiums or townhouses?

Yes ☐ No ☐

A. If yes, is the work new construction?

Yes ☐ No ☐

B. Repair or Remodel only?

Yes ☐ No ☐

37. Will any work involve the construction of or involvement with apartments?

Yes ☐ No ☐

A. If yes, is the work new construction?

Yes ☐ No ☐

How many units in the entire Project? _____

B. Repair or Remodel only?

Yes ☐ No ☐

38. Will any work involve the construction of or involvement with **new** Duplexes,
Triplexes, Fourplexes or Patio Homes?

Yes ☐ No ☐

39. Have you ever worked in **new** Duplexes, Triplexes, Fourplexes or Patio Homes?
If yes, how long ago? _____

Yes ☐ No ☐

40. Will you be working in any **new** tracts?

Yes ☐ No ☐

If yes, maximum number of homes in **ENTIRE TRACT DEVELOPMENT** _____

41. Have you ever worked in **new** condominiums/townhouses?
If yes, how long ago? _____

Yes ☐ No ☐

42. Have you ever worked in **new** Apartments?

Yes ☐ No ☐

If yes, how long ago? _____ How many units in the entire building? _____

43. Have you ever worked in **new** tract developments?

Yes ☐ No ☐

If yes, how long ago? _____

How many units in the entire development? _____

44. Any current Wrap-Up/OCIP Projects?

Yes ☐ No ☐

A. Name of Carrier? _____

45. Have you ever worked in **new** assisted living facilities?

Yes ☐ No ☐

If yes, how long ago? _____ How many units in the entire building? _____

46. Have you or will you ever convert apartments to condominiums? Yes ☐ No ☐
47. Any unusual exposures/operations not otherwise covered by this questionnaire? Yes ☐ No ☐
If yes, please explain: _____
48. Have there been any losses, claims or suits against you in the past five years? Yes ☐ No ☐
- a. Are there any claims or legal actions pending against any of the entities? Yes ☐ No ☐
- b. Do any of the entities named in the application have knowledge of any pre-existing act, omission, event, condition or damages to any person or property that may potentially give rise to any future claim or legal action against them? Yes ☐ No ☐
- c. Have you been accused of faulty construction in the past 5 years? Yes ☐ No ☐
- d. Have you been accused of breaching a contract in the past 5 years? Yes ☐ No ☐
- e. Have you ever filed any Mechanic Liens in the past 5 years? Yes ☐ No ☐

DEFINITIONS:

EIFS -Exterior Insulation Finishing Systems – multi layered exterior wall systems (which resemble stucco in appearance) that are used on both commercial buildings and residential homes.

GENERAL CONTRACTOR – A contractor who subcontracts work to others in excess of 50% of total receipts, exercises primary control of the job site, and is named in the construction documents as the general contractor of record.

RESIDENTIAL CONTRACTOR – Single or multi unit-family housing, including apartments, condominiums and townhouses, planned unit developments and tract housing or similar planned communities.

SUBSIDENCE – Any movement of the land or earth including landslides, mudflow, earth sinking, rising and shifting, collapse or movement of fill, earth settling, slipping, falling away, caving in, eroding or tilting and earthquake.

TORCH APPLIED ROOFING (MODIFIED BITUMEN) – This process which is also called torch welding, involves a modified bitumen installed on a roofing deck by means of a torch. A membrane is laid on the roof, heated by a torch and allowed to cool so that the material solidifies in place. The asphalt component in the membrane serves as an adhesive between the surface material and roof substrate.

HOT AIR WELDING – Hot Air Welding is a system utilizing a heating source (either electric or propane flame) attached to a hose, which blows hot air onto the membrane.

TRACT HOUSING – Developments where the houses are similar in price, physical characteristics, lot size and square footage; numerous houses of similar or complementary design constructed on a given expense of land, by a single builder.

WRAP-UP (OCIP) – A policy providing coverage(s) for all interests in a major construction project. Also known as an OCIP (Owner Controlled Insurance Program) or a CCIP (Contractor Controlled Insurance Program).

WARRANTY: The purpose of the Supplemental Questionnaire is to assist in the underwriting process. Information contained herein is specifically relied upon in determination of insurability. The undersigned, therefore warrants that the information contained herein (consisting of five pages) is true and accurate to the best of his knowledge, information and belief. The Supplemental Questionnaire, and the application to which it is appended, shall be the basis of any insurance policy that may be issued and will be part of such policy.

Signature of Applicant:* _____

Name & Title: _____ Date: _____

*Must be owner, executive officer or partner of the company

Please Fill Out, Print, & Sign and Email

To: Info@UPNIN.com Or Mail To:

UPNIN Insurance

PO BOX 53180

Lubbock, TX 79453